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EXAMINER

PAIK, SANG YEOP

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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE BOARD OF PATENT APPEALS  
AND INTERFERENCES

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*Ex parte* CHESTER LEDLIE SANDBERG,  
HAROLD J. VINEGAR, CHRISTOPHER KELVIN HARRIS,  
JAIME SANTOS SON and  
FREDERICK GORDON CARL JR.

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Appeal 2010-001121  
Application 10/693,820  
Technology Center 3700

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Before LINDA E. HORNER, JOHN C. KERINS and  
STEVEN D.A. McCARTHY, *Administrative Patent Judges*.

McCARTHY, *Administrative Patent Judge*.

DECISION ON APPEAL

1           The Appellants appeal under 35 U.S.C. § 134 from the Examiner's  
2 rejection of claims 1691-96, 1698-1716, 1718-34 and 1736-53. Claims 1-  
3 1690, 1697, 1717 and 1735 are cancelled. We have jurisdiction under 35  
4 U.S.C. § 6(b).

5           The Examiner finally rejects claims 1691-96, 1699-1716, 1719-34 and  
6 1736-53 under 35 U.S.C. § 103(a) as unpatentable over Eastlund (US  
7 4,716,960, issued Jan. 5, 1988); either Van Egmond (US 5,065,818, issued  
8 Nov. 19, 1991) or Bell (US 4,382,469, iss. May 10, 1983); and Rose (EP 0  
9 130 671 A2, pub. Jan. 9, 1985).

10          The Examiner also finally rejects claims 1698 and 1718 under  
11 § 103(a) as unpatentable over Eastlund; either Van Egmond or Bell; Rose;  
12 and Bridges (CA 2,152,521, issued Jun. 20, 2000).

13          The Examiner provisionally rejects claims 1691-96, 1698-1716, 1718-  
14 34 and 1736-53 for non-statutory obviousness-type double patenting as  
15 unpatentable over one or more claims of Sandberg '700 (US Appl'n  
16 10/693,700, filed Oct. 24, 2003, pub. Jan. 13, 2005 as US 2005/0006097 A1)  
17 or of Sandberg '840 (US Appl'n 10/693,840, filed Oct. 24, 2003, pub. Jul.  
18 22, 2004 as US 2004/0140096 A1).

19          We REVERSE the final rejections of claims 1691-96, 1698-1716,  
20 1718-34 and 1736-53. We do not reach the provisional rejection of these  
21 claims.

22          Claims 1691, 1711 and 1731 are independent. Claim 1691 recites:

23          1691. A system configured to heat a hydrocarbon containing  
24 formation, comprising:

25               a heater well extending from a surface of the earth  
26 through an overburden of the formation and into a hydrocarbon  
27 containing layer in the formation;

1           an AC supply configured to provide AC at a voltage  
2           above about 200 volts; and

3           one or more electrical conductors located in the heater  
4           well and extending from the surface into the hydrocarbon  
5           containing layer, the electrical conductors being electrically  
6           coupled to the AC supply;

7           at least one electrical conductor comprising one or more  
8           ferromagnetic sections, and being configured to provide an  
9           electrically resistive heat output during application of AC to the  
10          electrical conductor such that heat transfers from the electrical  
11          conductor to hydrocarbons in the hydrocarbon containing layer  
12          to at least mobilize some hydrocarbons in the layer;

13          wherein one or more of the ferromagnetic sections  
14          provides a reduced amount of heat above or near a selected  
15          temperature during use, wherein the selected temperature is at  
16          or about the Curie temperature of the ferromagnetic section.

17          Claim 1691 and 1711 each recite a system including one or more  
18          electrical conductors located in the heater well and extending from the  
19          surface and into the hydrocarbon containing layer. At least one of the  
20          electrical conductors is “configured to provide an electrically resistive heat  
21          output during application of AC to the electrical conductor such that heat  
22          transfers from the electrical conductor to hydrocarbons in the hydrocarbon  
23          containing layer to at least mobilize some hydrocarbons in the layer.” The  
24          latter recitation limits the system to one including an electrical conductor  
25          which not only heats hydrocarbons which originated in the hydrocarbon  
26          containing formation, but also heats the hydrocarbons while the  
27          hydrocarbons are in the formation. This interpretation is consistent with the  
28          disclosure of the Specification.

29          An interpretation of the recitation broad enough to encompass heating  
30          hydrocarbons which originated in the hydrocarbon containing formation

1 only while the hydrocarbons are outside the formation would be inconsistent  
2 with the preamble of each of claims 1691 and 1711, that is, with a system  
3 “configured to heat a hydrocarbon containing formation.” Such an  
4 interpretation also would be inconsistent with the recitation that the heating  
5 is “to at least mobilize some hydrocarbons in the layer.” (Cf. App. Br. 9  
6 (arguing that “Eastlund only teaches the heating of fluids that have already  
7 been mobilized and have moved into the well tubing through perforations 12  
8 (Figure 1) or perforations 113 (Figure 7A).”).)

9 Claim 1731 recites a method of heating a hydrocarbon containing  
10 formation. The method includes “allowing heat to transfer from the  
11 electrical conductors to hydrocarbons in the hydrocarbon containing layer to  
12 at least mobilize some hydrocarbons in the layer.” For reasons similar to  
13 those discussed in the last paragraph of this opinion *infra*, this step is limited  
14 to allowing heat to transfer from the electrical conductors to hydrocarbons  
15 while the hydrocarbons are in the hydrocarbon containing layer.

16 Eastlund describes a well having an upper tubing section 13a and a  
17 lower tubing section 13b suspended in a casing 10. (Eastlund, col. 3, ll. 20-  
18 24.) A lead 19 electrically connects a power source with the lower tubing  
19 section 13b. (Eastlund, col. 3, ll. 64-68.) Another lead 21 electrically  
20 connects the power source with a wellhead. (Eastlund, col. 4, ll. 6-7.) The  
21 casing 10 is secured to the wellhead. (Eastlund, col. 3, ll. 13-15.) A  
22 “scratcher” 17 electrically connects the lower tubing section 13b and the  
23 casing 10 to complete an electrical circuit at and above the scratcher 17.  
24 (Eastlund, col. 3, ll. 55-63.)

25 Eastlund teaches using an electrical circuit to heat the tubing to  
26 prevent solids such as paraffin from depositing within the tubing. (Eastlund,

col. 4, ll. 22-25). Eastlund teaches connecting the casing *10* and the lower tubular section *13b* below the normal level of solids formation in the tubing. (Eastlund, col. 3, ll. 40-54.) Nevertheless, Figure 1 of Eastlund implies that the scratcher *17* defines the lowest extent of the electrical circuit significantly above the hydrocarbon containing formation as indicated by the casing perforations *12*. (See Eastlund, col. 3, ll. 17-19.) The Examiner does not provide a sound, non-conclusory basis for finding that the electrical circuit is capable of heating hydrocarbons while in the hydrocarbon containing formation. (See generally Ans. 3 and 7-8; see also App. Br. 9-11.)

Van Egmond describes a heater “particularly useful in enhanced recovery of heavy oils from oil bearing strata, and in recovery of hydrocarbons from oil shales.” (Van Egmond, col. 2, ll. 6-8.) The heater includes heating cables *1, 2*. (See Van Egmond, col. 3, ll. 35-37.) Figure 1 of Van Egmond depicts the cables *1, 2* as extending from the surface to heat a subterranean zone *2* located below the overburden. (See Van Egmond, col. 3, ll. 32-34.)

Bell teaches a method for producing fuel gas from an underground formation of carbonaceous material. The method includes contacting the carbonaceous material with an aqueous electrolyte and passing a controlled amount of direct current through the formation to produce the gas by electrochemical action. (Bell, col. 2, l. 54 – col. 3, l. 2.)

The Examiner concludes that:

it would have been obvious . . . to adapt Eastlund with the heater well that extends through an overburden formation and into the hydrocarbon containing formation at least about 10 m or more to effectively heat such hydrocarbon containing layer.

(Ans. 4.) Eastlund's electrical circuit is designed to heat and mobilize hydrocarbons within the tubing, however. Eastlund's circuit is not designed to heat and mobilize hydrocarbons in a hydrocarbon containing formation as claimed. The adaptation that the Examiner proposes would require adapting Eastlund's circuit to address a problem for which the circuit was not designed. Van Egmond and Bell describe different systems for addressing different problems. The Examiner's reasoning does not persuade us that the teachings of either Van Egmond or Bell would have provided one of ordinary skill in the art reason to try to adapt an electrical circuit such as that described by Eastlund to heat hydrocarbons in a hydrocarbon containing formation. (*See App. Br. 12.*)

The Examiner correctly finds that Rose describes "a heating element having an inner core made of copper with an outer conductor made of a ferromagnetic carbon steel which allows the heating element to be self-regulating." (Ans. 4; *see* Rose 9, ll. 1-18.) Rose does not appear to suggest use of the heating element for heating hydrocarbons in a hydrocarbon containing formation. Therefore, Rose does not remedy the deficiencies in the combined teachings of Eastlund with Van Egmond or Bell. We thus do not sustain the rejection of claims 1691-96, 1699-1716, 1719-34 and 1736-53 under § 103(a) as unpatentable over Eastlund; Van Egmond or Bell; and Rose.

Bridges describes a heating system for delivering electric power to a hydrocarbon-containing reservoir in a production well. (*See* Bridges 13, l. 12 – 14, l. 6.) Bridges also teaches that a three-phase power supply may be used to supply electrical power for the heating system. (*See* Bridges 12, l. 16 – 13, l. 11.) The Examiner cites Bridges solely for the latter teaching.

1 (*See* Ans. 6.) The Examiner does not adequately explain how the teachings  
2 of Bridges might remedy the deficiencies in the combined teachings of  
3 Eastlund; either Van Egmond or Bell; and Rose as discussed with respect to  
4 the rejections of independent claims 1691, 1711 and 1731. (*See* App. Br.  
5 24.) We thus do not sustain the rejection of claims 1698 and 1718 under  
6 § 103(a) as unpatentable over Eastlund; Van Egmond or Bell; Rose and  
7 Bridges.

8 The Examiner also provisionally rejects appealed claims 1691-96,  
9 1698-1716, 1718-34 and 1736-53 for non-statutory obviousness-type double  
10 patenting as unpatentable over one or more claims of Sandberg '700 or of  
11 Sandberg '840. The Appellants do not contest this rejection. Instead, the  
12 Appellants represent that they will provide a terminal disclaimer once the  
13 application underlying this appeal is in condition for allowance. (*See* App.  
14 Br. 24.) Based on this representation, we do not reach the provisional  
15 rejection. Nevertheless, we note that Sandberg '700 and Sandberg '840  
16 appear to have the same filing date as the application underlying this appeal.  
17 We direct the Examiner's attention to the second paragraph of § 804 I.B.1.  
18 of the MANUAL OF PATENT EXAMINING PROCEDURE ("MPEP").  
19

## 20 DECISION

21 We REVERSE the Examiner's decision finally rejecting claims 1691-  
22 96, 1698-1716, 1718-34 and 1736-53.

23 We do not reach the Examiner's decision provisionally rejecting  
24 claims 1691-96, 1698-1716, 1718-34 and 1736-53.

25 REVERSED

26 nlk